



**Quent  
Capital**

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# Form CRS

**March 12, 2024**

Quent Capital, LLC (“we”, “our”, or “us”) is registered with the U.S. Securities and Exchange Commission (“SEC”) as an investment adviser. Our services and compensation structure differs from that of a registered broker-dealer. Free and simple tools are available to research firms and financial professionals at [www.investor.gov/CRS](http://www.investor.gov/CRS). It is important that you understand the differences. The site also provides educational materials about broker-dealers, investment advisers and investing. Information in text boxes below are intended to be conversation-starters for you to have with us and are required by the instructions to Form CRS.

## What investment services and advice can you provide me?

Among other services, we provide investment-management services to individuals and their trusts and estates (our “retail investors”). We rely on fundamental, statistical and quantitative, and strategic-asset-allocation principles in formulating our investment advice and managing client assets. We first determine your investment objectives, and then invest your assets according to your investment objectives. Once invested, we monitor and review your account performance and may make changes as necessary based on these reviews.

We provide our services on a discretionary basis, which means we have the authority to buy and sell investments in your account without speaking to you prior to doing so. You can place reasonable restrictions on the securities and types of securities that we buy by notifying us, in writing.

We do not limit the scope of the universe of securities that we use in managing client accounts, although we primarily use ETFs, mutual funds, bonds, and individual equities in creating portfolios for clients. We may also recommend or use other types of securities for clients from time to time beyond those referenced above, including options, fixed-income positions, and private-investment funds.

While we do not have a specific minimum account size or annual fee, we generally work with high-net-worth individuals and families.

For more detailed information about our Advisory Business and the Types of Clients we generally service, please See Items 4 and 7, respectively, in our [Form ADV Part 2A](#).

### Conversation Starters

Given my financial situation, should I choose an investment-advisory service? Why or why not?

How will you choose investments to recommend to me?

What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

## What fees will I pay?

Our fee for investment-advisory services is negotiable but is typically based on an annual percentage of your assets under our management and ranges from 0.50% to 1.00%.

We typically deduct our fee from one or more of your investment accounts on a quarterly basis, in arrears, based upon the market value of assets under management on the last day of the previous quarter. Because our fee is based on the amount of your assets under our management, the more assets you entrust us to manage, the more you will pay us for our services. Therefore, we have an incentive to encourage you to increase the amount of assets that you entrust to us.



Your account will be held with a qualified custodian. Custodians generally charge transaction fees for effecting certain securities transactions. In addition, your assets can be invested in pooled investment vehicles, such as mutual funds and ETFs. You will bear your pro-rata share of the investment-management fees and other fees of the funds, which are in addition to the fees you pay us. These fees and expenses are described in each fund's prospectus and potentially include a management fee, distribution fee, and other fund expenses. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

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**Conversation Starters**

Help me understand how these fees and costs might affect my investments. If I give you \$10,000,000 to invest, how much will go to fees and costs, and how much will be invested?

For more detailed information about our fees and costs related to our management of your account, please See Item 5 in our [Form ADV Part 2A](#).

## **What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means:

\*We manage an affiliated private investment fund—the Quent Long Short Global Small Cap Fund, LP. We may recommend, on a non-discretionary basis, that qualified clients invest in this fund. Clients that invest in the fund are not charged investment management fees for the portion of their assets invested in the fund but pay their pro rata portion of fund management fees.

\*We may recommend rollovers out of employer-sponsored retirement plans and into Individual Retirement Accounts that we manage for an asset-based fee. If we don't currently manage your account held with your employer's plan, this will increase our compensation.

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**Conversation Starters**

How might your conflicts of interest affect me, and how will you address them?

For more detailed information about our conflicts of interest, please review Item 5, 6, 10, 11, and 12 of [Form ADV Part 2A](#).



## How do your financial professionals make money?

Our financial professionals are generally compensated on a salary basis and may receive discretionary bonuses. In the event an employee earns a bonus, it is based on various factors. In addition, certain of our financial professionals are equity owners of the firm, in which case they stand to receive a share of the profits of the firm.

## Do you or your financial professionals have legal or disciplinary history?

No.

We encourage you to visit [www.investor.gov/CRS](http://www.investor.gov/CRS) to research our firm and our financial professionals. Furthermore, we encourage you to ask your financial professional.

### Conversation Starters

As a financial professional, do you have any disciplinary history? If so, for what type of conduct?

## Additional Information

Additional information about our firm is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You may contact our Chief Compliance Officer at any time to request a current copy of our Form ADV Part 2A or our relationship summary. Our Chief Compliance Officer may be reached by phone at (212) 796-0707.

### Conversation Starters

Who is my primary contact person? Is he or she a representative of an investment adviser or broker-dealer? Who can I talk to if I have concerns about how this person is treating me?

A copy of our [Form ADV Part 2A](#) is available to view here.

